Understanding Adversarial Examples and Adversarial Training in Deep Learning: A Feature Learning View

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Deep Learning

• Nowadays, deep learning has achieved remarkable success in a variety of disciplines including computer vision, natural language processing, multi-agent decision making as well as scientific and engineering applications.



SAM

ChatGPT

AlphaStar

• Deep Learning $\approx \underbrace{\text{Deep Neural Network}}_{\text{Powerful Expressivity}} + \underbrace{\frac{\text{Gradient Descent}}_{\text{Efficient Opt Alg}}$

Adversarial Examples

- Although deep neural networks have achieved remarkable success in practice, it is well-known that modern neural networks are vulnerable to adversarial examples.
- Specifically, for a given image x, an indistinguishable small but adversarial perturbation δ is chosen to fool the classifier f to produce a wrong class using f (x + δ).



An Instance for Adversarial Example

Adversarial Training

• To mitigate this problem, a common approach is to design adversarial training algorithms by using adversarial examples as training data.

Concretely, we consider a training dataset $S = \{(x_1, y_1), ..., (x_N, y_N)\},\$ and we aim to solve the following min-max optimization problem:

$$\min_{\theta \in \Theta} \frac{1}{N} \sum_{i=1}^{N} \max_{\|\delta\| \le \varepsilon} L(f_{\theta}(x_i + \delta), y_i)$$



Our Fundamental Theoretical Questions :

Q1: Why do neural networks **trained by standard training** converge to the non-robust solutions that fail to classify **adversarial examples**?

Q2: How does **adversarial training algorithm** help **optimizing** neural networks to improve their robustness against adversarial perturbation?

Robust and Non-robust Feature Decomposition

• A common challenge in analyzing adversarial training **is the gap between theory and practice**, which motives us considering the realistic data model.



• The data foundation that we leverage is predicated on the decomposition of robust and non-robust features, which suggests that data is comprised of two distinct types of features: robust features, characterized by their strength yet sparsity, and non-robust features, noted for their vulnerability yet density.

Patch-Structured Data Model

• In our paper, we mathematically represent this concept via the **patch**-**structured data**, which is shown as:



Main Result I: Non-Robust Feature Learning Dominates During Standard Training

Theorem 1 (Standard Training Converges to Non-robust Global Minima). Under our framework, we prove that neural network trained by standard training from random initialization satisfies:

- Standard training is perfect.
- Non-robust features are learned well.
- Standard test accuracy is good.
- Robust test accuracy is bad, even for model-independent perturbations that are generated by non-robust features.

Main Result II: Adversarial Training Provably Helps Robust Feature Learning

Theorem 2 (Adversarial Training Converges to Robust Global Minima). Under our framework, we prove that neural network trained by adversarial training from random initialization satisfies:

- Adversarial training is perfect.
- Robust features are learned well.
- Standard test accuracy is good.
- Robust test accuracy is also good.

Experiments: Feature Learning Process on Real Images



Take-Home Messages



Thanks for listening!